Image

566.41191X00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicants:

KURATA, et al

**Application No.:** 

10/049.672

Filed:

February 15, 2002

For:

POLISHING MEDIUM FOR CHEMICAL-MECHANICAL

POLISHING, AND METHOD OF POLISHING

Examiner:

TBD

Group:

**TBD** 

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 AND § 1.98

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

January 13, 2004

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Office Action issued by the Chinese Patent Office in connection with a corresponding Chinese patent application. Also enclosed is a copy of the document cited in the enclosed Office Action. Further enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed cited document.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, requirements of 37 CFR § 1.97(b) are satisfied.

566.41191X00

In any event, the following Statement is made under 37 CFR § 1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and § 1.98 have been satisfied, in connection with the document listed on the enclosed Form. Accordingly, consideration of the listed document, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 566.41191X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

William I. Solomon / Registration No. 28,565

1300 North Seventeenth Street Suite 1800

Arlington, VA 22209 Tel.: 703-312-6600 Fax.: 703-312-6666

WIS/sig

OIPE CONT.

Form PTO-1449
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO. 566.41191X00

February 15, 2002

**SERIAL NO.** 10/049,672

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

KURATA, et al.

**APPLICANT** 

FILING DATE

GROUP TBD

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS** 

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA						
AB						
AC		1				
AD					1	
AE						
AF					1	· · · · · · · · · · · · · · · · · · ·
AG						
AH						
AI						
AJ						
AK						
AL	•	1			1	

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Date	Country	Class	Subclass	Translation /Abstract	
						Yes	No
AM	0 846 742	6/10/1998	EP				
AN	<u> </u>	ļ · · · · ·					
AO							
AP				1		<del></del>	
AQ							
AR					7 ./		
AS							
AT							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	` 0	, , ,	8 , ,	
AU				
AV				
AW				
AX				
AY				<del></del>
AZ				
Examiner			Date Considered	
1			ì	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC